

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Alex S. GOLDENBERG et al.

Serial No.: 10/671,465

Examiner: Unassigned

Filed: September 29, 2003

Art Unit: 2673

Confirmation No.: 7950

For: CONTROLLING HAPTIC SENSATIONS FOR VIBROTACTILE
FEEDBACK INTERFACE DEVICES

U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
 - ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
 - ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. ____ in the amount of \$____ for the total fee is attached.
- ☒ A return receipt postcard is also enclosed.
- ☐ Please charge \$____ to Deposit Account No. 03-3117 for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.


Dated: January 9, 2004

Cooley Godward LLP
ATTN: Patent Group
One Freedom Square
Reston Town Center
11951 Freedom Drive
Reston, VA 20190-5656
Tel: (703) 456-8000
Fax: (703) 456-8100

183592 v1/RE
3XNS01!.DOC

Respectfully submitted,
COOLEY GODWARD LLP

By:



Christopher R. Hutter
Reg. No. 41,087



Attorney Docket No. IMMR073/02US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Alex S. GOLDENBERG et al.

Serial No.: 10/671,465

Examiner: Unassigned

Filed: September 29, 2003

Art Unit: 2673

Confirmation No.: 7950

For: CONTROLLING HAPTIC SENSATIONS FOR VIBROTACTILE
FEEDBACK INTERFACE DEVICES

U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- ☒ [x] No copies of the publications listed on the attached Form PTO/SB/08A (1 of 2) are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 09/669,029 to which the above-identified application claims priority under 35 U.S.C. §120.
- ☒ [x] Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08A (2 of 2) is enclosed.
- ☐ [] Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.
- ☐ [] Enclosed is a copy of a non-English publication(s) ___. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ [] Enclosed is a copy of a non-English publication(s) ___. English language publication ___ (copy enclosed) claims priority from this non-English publication.

- ☐ Enclosed is an explanation of non-English publication(s) ___ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) ___ cited in the attached Form PTO/SB/08A.
- ☒ Enclosed is a copy of pending patent Application Serial No. 09/608,125.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

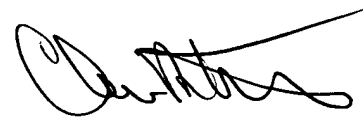
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: January 9, 2004

Cooley Godward LLP
ATTN: Patent Group
One Freedom Square
Reston Town Center
11951 Freedom Drive
Reston, VA 20190-5656
Tel: (703) 456-8000
Fax: (703) 456-8100

Respectfully submitted,
COOLEY GODWARD LLP

By:



Christopher R. Hutter
Reg. No. 41,087

Please type a plus sign (+) inside this box →

+

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 4

Complete if Known

Application Number	Unknown
Filing Date	September 29, 2003
First Named Inventor	Alex S. GOLDENBERG
Group Art Unit	2673
Examiner Name	Unassigned
Attorney Docket Number	IMMR-073/02US

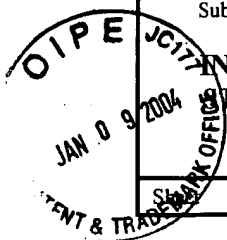
PTO/SB/08A (08-00) (1 of 2)

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	A1	6,373,463		Beeks	04/2002
	A2	5,977,867		Blouin	11/1999
	A3	5,785,630		Bobick, et al.	07/28/1998
	A4	5,766,016		Sinclair et al.	06/16/1998
	A5	5,742,278		Chen et al.	04/1998
	A6	5,736,978		Hasser et al.	04/1998
	A7	5,734,373		Rosenberg et al	03/1998
	A8	5,694,013		Stewart et al.	12/1997
	A9	5,547,382		Yamasaki et al.	08/20/1996
	A10	5,466,213		Hogan et al.	11/14/1995
	A11	5,334,027		Wherlock	08/02/1994
	A12	5,309,140		Everett, Jr., et al.	05/03/1994
	A13	5,299,810		Pierce et al.	04/05/1994
	A14	5,296,871		Paley	03/1994
	A15	5,275,174		Cook	01/04/1994
	A16	5,271,290		Fischer	12/21/1993
	A17	5,240,417		Smithson et al.	08/31/1993
	A18	5,212,473		Louis	05/18/1993
	A19	5,078,152		Bond et al.	01/07/1992
	A20	5,038,089		Szakaly	08/06/1991
	A21	5,035,242		Franklin et al.	07/30/1991
	A22	5,022,407		Horch et al.	06/11/1991
	A23	5,019,761		Kraft	05/28/1991
	A24	4,934,694		McIntosh	06/19/1990
	A25	4,930,770		Baker	06/05/1990
	A26	4,891,764		McIntosh	01/02/1990
	A27	4,794,392		Selinko	12/27/1988
	A28	4,713,007		Alban	12/15/1987
	A29	4,708,656		de Vries et al.	11/24/1987
	A30	4,599,070		Hladky et al.	07/08/1986
	A31	4,581,491		Boothroyd	04/08/1986
	A32	4,513,235		Acklam et al.	04/23/1985
	A33	4,236,325		Hall et al.	12/02/1980
	A34	4,160,508		Salisbury, Jr.	07/10/1979
	A35	3,911,416		Feder	10/07/1975
	A36	3,903,614		Diamond et al.	09/09/1975
	A37	3,902,687		Hightower	09/02/1975
	A38	3,623,064		Kagan	11/23/1971
	A39	3,517,446		Corlyon et al.	06/30/1970
	A40	3,497,668		Hirsch	02/24/1970
	A41	3,220,121		Cutler	11/30/1965
	A42	3,157,853		Hirsch	11/17/1964

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

2

of

4

Complete if Known

Application Number	Unknown
Filing Date	September 29, 2003
First Named Inventor	Alex S. GOLDENBERG
Group Art Unit	2673
Examiner Name	Unassigned
Attorney Docket Number	IMMR-073/02US

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁴
		Office ¹	Number ²	Kind Code ³ (if known)			
	B1		0 349 086	A1	Stork Kwant B.V.	01/03/1990	

¹ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

² For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁴ Applicant is to place a check mark here if English language Translation is attached.



Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	Unknown
		Filing Date	September 29, 2003
		First Named Inventor	Alex S. GOLDENBERG
		Group Art Unit	2673
		Examiner Name	Unassigned
Sheet 3 of 4	Attorney Docket Number	IMMR-073/02US	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1	Baigrie, "Electric Control Loading – A Low Cost, High Performance Alternative," <i>Proceedings of Interservice/Industry Training Systems Conference</i> , pp. 247-254, November 6-8, 1990	
	C2	Iwata, "Pen-based Haptic Virtual Environment," 0-7803-1363-1/93 IEEE, pp. 287-292, 1993	
	C3	Russo, "The Design and Implementation of a Three Degree of Freedom Force Output Joystick," <i>MIT Libraries Archives</i> pp. 1-131, May 1990, archived 8/14/90	
	C4	Brooks et al., "Hand Controllers for Teleoperation - A State-of-the-Art Technology Survey and Evaluation," <i>JPL Publication 85-11</i> , NASA-CR-175890; N85-28559, pp. 1-84, 03/11/1985	
	C5	Jones et al., "A perceptual analysis of stiffness," ISSN 0014-4819 Springer International (Springer-Verlag); <i>Experimental Brain Research</i> , Vol. 79, No. 1, pp. 150-156, 1990	
	C6	Burdea et al., "Distributed Virtual Force Feedback, Lecture Notes for Workshop on Force Display in Virtual Environments and its Application to Robotic Teleoperation," <i>1993 IEEE International Conference on Robotics and Automation</i> , pp. 25-44, 05/02/1993	
	C7	Snow et al., "Model-X Force-Reflecting-Hand-Controller," NT Control No. NPO-17851; JPL Case No. 7348, pp. 1-4 with 45 pages of attachments, 06/15/1989	
	C8	Ouh-Young, "Force Display in Molecular Docking," Doctoral Dissertation, University of North Carolina at Chapel Hill, UMI Order No. 9034744, p. 1-369, 1990	
	C9	Tadros, "Control System Design for a Three Degree of Freedom Virtual Environment Simulator Using Motor/Brake Pair Actuators," <i>MIT Archive</i> , pp. 1-88, February 1990, archived 8/13/90	
	C10	Caldwell et al., "Enhanced Tactile Feedback (Tele-Taction) Using a Multi-Functional Sensory System," 1050-4729/93, pp. 955-960, 1993	
	C11	Adelstein et al., "Design and Implementation of a Force Reflecting Manipulandum for Manual Control research," DSC-Vol. 42, <i>Advances in Robotics</i> , pp. 1-12, 1992	
	C12	Gotow et al., "Controlled Impedance Test Apparatus for Studying Human Interpretation of Kinesthetic Feedback," WA11-11:00, pp. 332-337	
	C13	Stanley et al., "Computer Simulation of Interacting Dynamic Mechanical Systems Using Distributed Memory Parallel Processors," DSC-Vol. 42, <i>Advances in Robotics</i> , pp. 55-61, ASME 1992	
	C14	Russo, "Controlling Dissipative Magnetic Particle Brakes in Force Reflective Devices," DSC-Vol. 42, <i>Advances in Robotics</i> , pp. 63-70, ASME 1992	
	C15	Kontarinis et al., "Display of High-Frequency Tactile Information to Teleoperators," <i>Telemanipulator Technology and Space Telerobotics</i> , Won S. Kim, Editor, Proc. SPIE Vol. 2057, pp. 40-50, Sep. 7-9, 1993	
	C16	Patrick et al., "Design and Testing of A Non-reactive, Fingertip, Tactile Display for Interaction with Remote Environments," <i>Cooperative Intelligent Robotics in Space</i> , Rui J. deFigueiredo et al, Editor, Proc. SPIE Vol. 1387, pp. 215-222, 1990	
	C17	Adelstein, "A Virtual Environment System For The Study of Human Arm Tremor," <i>Ph.D. Dissertation</i> , Dept. of Mechanical Engineering, MIT, June 1989, archived 3/13/90	
	C18	Bejczy, "Sensors, Controls, and Man-Machine Interface for Advanced Teleoperation," <i>Science</i> , Vol. 208, No. 4450, pp. 1327-1335, 1980	
	C19	Bejczy et al., "Generalization of Bilateral Force-Reflecting Control of Manipulators," <i>Proceedings Of Fourth CISM-IFTOMM</i> , Sep. 8-12, 1981	
	C20	McAfee et al., "Teleoperator Subsystem/Telerobot Demonstrator: Force Reflecting Hand Controller Equipment Manual," <i>JPL 1988</i> , JPL D-5172	
	C21	Minsky, "Computational Haptics: The Sandpaper System for Synthesizing Texture for a Force-Feedback Display," <i>Ph.D. Dissertation</i> , MIT, June 1995, archived 7/6/95	
	C22	Jacobsen et al., "High Performance, Dexterous Telerobotic Manipulator With Force Reflection," <i>Intervention/ROV '91 Conference & Exposition</i> , Hollywood, Florida, May 21-23, 1991	
	C23	Shimoga, "Finger Force and Touch Feedback Issues in Dexterous Telemanipulation," <i>Proceedings of Fourth Annual Conference on Intelligent Robotic Systems for Space Exploration</i> , Rensselaer Polytechnic Institute, Sep. 30- Oct. 1, 1992	
	C24	IBM Technical Disclosure Bulletin, "Mouse Ball-Actuating Device With Force and Tactile Feedback," Vol. 32, No. 9B, February 1990	
	C25	Terry et al., "Tactile Feedback In A Computer Mouse," <i>Proceedings of Fourteenth Annual Northeast Bioengineering Conference</i> , University of New Hampshire, March 10-11, 1988	
	C26	Howe, "A Force-Reflecting Teleoperated Hand System for the Study of Tactile Sensing in Precision Manipulation," <i>Proceedings of the 1992 IEEE International Conference on Robotics and Automation</i> , Nice, France, May 1992	
	C27	Eberhardt et al., "OMAR - A Haptic display for speech perception by deaf and deaf-blind individuals," <i>IEEE Virtual Reality Annual International Symposium</i> , Seattle, WA, Sep. 18-22, 1993	

Substitute for form 1449A/PTO

Complete if Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	Unknown
Filing Date	September 29, 2003
First Named Inventor	Alex S. GOLDENBERG
Group Art Unit	2673
Examiner Name	Unassigned
Attorney Docket Number	IMMR-073/02US

4 of 4

C28	Rabinowitz et al., "Multidimensional tactile displays: Identification of vibratory intensity, frequency, and contractor area," <i>Journal of The Acoustical Society of America</i> , Vol. 82, No. 4, October 1987	
C29	Bejczy et al., "Kinesthetic Coupling Between Operator and Remote Manipulator," <i>International Computer Technology Conference, The American Society of Mechanical Engineers</i> , San Francisco, CA, August 12-15, 1980	
C30	Bejczy et al., "A Laboratory Breadboard System For Dual-Arm Teleoperation," <i>SOAR '89 Workshop, JSC</i> , Houston, TX, July 25-27, 1989	
C31	Ouhyoung et al., "A Low-Cost Force Feedback Joystick and Its Use in PC Video Games," <i>IEEE Transactions on Consumer Electronics</i> , Vol. 41, No. 3, August 1995	
C32	Marcus, "Touch Feedback in Surgery," <i>Proceedings of Virtual Reality and Medicine The Cutting Edge</i> , Sep. 8-11, 1994	
C33	Bejczy, et al., "Universal Computer Control System (UCCS) For Space Telerobots," CH2413-3/87/0000/0318501.00 1987 IEEE, 1987	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

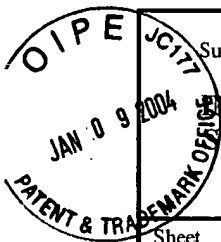
¹ Unique citation designation number.

²² Applicant is to place a check mark here if English language Translation attached.

183563 v1/RE
3XMZ01!.DOC

Please type a plus sign (+) inside this box →

+



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	Unknown
Filing Date	September 29, 2003
First Named Inventor	Alex S. GOLDENBERG
Group Art Unit	2673
Examiner Name	Unassigned
Attorney Docket Number	IMMR-073/02US

PTO/SB/08A (08-00) (2 of 2)

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	A1	6,424,333		Tremblay et al.	07/23/2002
	A3	6,275,213		Tremblay et al.	08/14/2001
	A4	6,088,017		Tremblay et al.	07/11/2000

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.